

CENTERS OF EXCELLENCE FUNCTIONAL REVIEW

NORTH DAKOTA DEPARTMENT OF COMMERCE

SFN 59144 (7-16)

Center

Program	<input type="checkbox"/> Centers of Excellence	<input type="checkbox"/> Enhancement	<input type="checkbox"/> Centers of Research Excellence
	<input type="checkbox"/> Base Realignment	<input type="checkbox"/> Limited Deployment Cooperative Airspace Project	
Center	Project ID#		
University or Affiliated Foundation	Date Submitted		

Center Director or Principal Investigator

Name	Title or Position
Email Address	Phone Number

Contact Person

Name	Title or Position
Email Address	Phone Number

Amount of Award	Amount Disbursed to Center	Amount of Award Spent by Center
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Report covers Center activities that occurred between July 1, _____ and June 30, _____

1. **Partners and Funding:** All information related to private sector and university partners and funding of the Center are to be reported online at: <http://www.commerce.nd.gov/research/ResourcesPublications/COEFinancialDataReporting/>

Please enter information for one partner at a time only. Complete the entire survey the first time information is input for a Center. After the first record has been input, only the **Last Name** and **Center ID** fields need to be filled out under the “Center and Contact Information” section.

PARTNER INFORMATION – Name of partner and whether or not it is a private partner

MATCHING FUNDS – Enter contributions from private or public sources that were identified in the center’s application as received by or pledged to the center.

LEVERAGED FUNDS – Contributions from private or public sources that were not identified in the center’s application

Include supporting documentation for all match and leveraged funds reported online as Appendix A

INCREASED GRANT ACTIVITY – Any additional grant funding that was the result of COE funding. This could include EPSCoR, SBIR, STTR or other sources.

Include supporting documentation for these funds reported online as Appendix D

1a. **Additional Projects:** Have any partners expanded their initial agreement with the Center or added additional projects with the Center? Yes No
If yes, list the private sector partners and give a brief description of the project (public information).

Partner (Name and Location)	Project Description

3. **Budget:** Provide a comparison of actual expenditures of award funds to the budget included either in the application or in the latest budget modification approved by the Commission.
Provide an itemized list of transactions including all expenditures or state COE funds during the reporting period as Appendix C.

Description	Budget	Actual	Difference
Totals			

4. **New Products and Services:** Have any partners introduced new products or services as a result of interactions with the Center? Yes No
 Include product improvements that resulted from interactions from the Center.
 If yes, list the private sector partners and give a brief description of the new product, service or product improvement (public information).

Partner (Name and Location)	Description

5. **New Companies:** Have any new businesses started in North Dakota as a result of the Center? Yes No
 If yes, indicate if the company is a Startup, expansion of a company with an existing presence in North Dakota (In-state Expansion), or an out-of-state company that has established a presence in North Dakota for the first time (New Presence).

Company Name and Location	Type of Expansion		
	<input type="checkbox"/> Startup	<input type="checkbox"/> In-State Expansion	<input type="checkbox"/> New Presence
	<input type="checkbox"/> Startup	<input type="checkbox"/> In-State Expansion	<input type="checkbox"/> New Presence
	<input type="checkbox"/> Startup	<input type="checkbox"/> In-State Expansion	<input type="checkbox"/> New Presence

6. **University Reputation:** Has the Center enhanced the university's reputation? Yes No
 List publications and presentations by Center personnel completed during the reporting period.

Attach additional pages, if necessary.

7. **Project Timeline:** Has the project deviated from the initial timeline provided with the application? Yes No
 If yes, has the Centers of Excellence Commission approved a timeline extension for the Center? Yes No
 Describe any deviations from the proposed timeline not approved by the Commission, its effect on the Center's activities, and if a timeline extension will be required.

Attach additional pages, if necessary.

8. **Self-Sustainability:** Has the Center expended all state funds? Yes No
(Centers of Excellence and Centers of Research ONLY)
- a. Describe the plans in place to sustain center activity once the initial grant funds have been expended.
 - b. If these plans differ significantly from what was initially proposed, please explain the rationale for the change.
 - c. If the Center has expended all state funds, describe how the Center has established self-sustainability.

Attach additional pages, if necessary.

9. **Changes to Scope:** Has the Center had changes to the scope, focus or direction since Yes No
the grant was approved?
If yes, were the changes approved by the Commission? Yes No When? _____
Please describe any variations that have changed to scope, focus, direction or outcome this grant.

Attach additional pages, if necessary.

10. Accountability and Reporting: Use this section to describe the Center's advances towards the objectives written in the approved application or previous Functional Reviews.

Progress towards goals in Application (*attach additional pages, if necessary*)

Progress towards goals in most recent Functional Review (*attach additional pages, if necessary*)

New goals to be assessed in next year's Functional Review (*attach additional pages, if necessary*)

11. Impact to the State of North Dakota: Describe any economic impacts to the State of North Dakota as a result of the Center that have not been covered elsewhere in the report.

Attach additional pages, if necessary.

12. Miscellaneous: Use this space to provide additional information (e.g. accomplishments, concerns, etc.) or to provide additional context to information provided earlier in this review.

Attach additional pages, if necessary.

